US Patent and nation unless it displays a valid OMB control number. Under the Paperwork Reduction Ac required to respond to a collection of it C mplete if Known Application Number 10/090,329 FEE TRANSMITTAL filing Date March 4, 2002 for FY 2002 First Named Inventor Kenneth R. Schroll **Examiner Name** Unknown Patent fees are subject to annual revision

Group Art Unit 2874 ፟፟፟፟፟፟፟፟፟ TOTAL AMOUNT OF PAYMENT \$ 240. Attorney Docket No. 10001-29748 FEE CALCULATION (continued) **METHOD OF PAYMENT ADDITIONAL FEES** The Commissioner is hereby authorized to charge 1. [X] indicated fees and credit any overpayments to: Large Small **Entity Fee Description** Fee Paid Deposit **Entity** Fee Fee Fee Fee 10-0460 Account Code (\$) Code (\$) Number 105 130 205 65 Surcharge-late filing fee or oath 130. 50 227 25 Surcharge-late provisional filing 127 Deposit fee or cover sheet JENNER & BLOCK, LLC Account Non-English specification 139 130 139 130 Name 147 2,520 147 2,520 For filing request for ex parte reexamination Charge Any Additional Fee Required under [X]920* 112 Requesting publication of SIR 920* 37 CFR 1.16 and 1.17 prior to Examiner action Requesting publication of SIR 113 1,840* 113 1,840* Applicant claims small entity status. See 37 CFR 1.27. [] after Examiner action 55 Extension for reply within 1st month 110. 110 215 115 **FEE CALCULATION** 400 216 200 Extension for reply within 2nd month 116 920 217 Extension for reply within 3rd month 117 460 1. BASIC FILING FEE 1,440 218 720 Extension for reply within 4th month 118 **Large Entity** Small Entity 128 1,960 228 980 Extension for reply within 5th month Fee Description Fee Fee Fee Fee 119 320 219 160 Notice of Appeal Fee Paid Code (\$) Code (\$) 120 320 220 160 Filing a brief in support of appeal 101 740 201 370 Utility filing fee 121 280 221 140 Request for oral hearing 165 Design filing fee 330 206 106 138 1.510 138 1.510 Petition to institute public use 255 Plant filing fee 107 510 207 proceeding 208 370 Reissue filing fee 108 740 140 110 240 Petition to revive - unavoidable Provisional filing fee \$ 160 214 80 114 640 Petition to revive - unintentional 141 1,280 241 **SUBTOTAL** 142 1,280 242 640 Utility issue fee (or reissue) 460 243 230 Design issue fee 143 EXTRA CLAIM FEES 144 620 244 310 Plant issue fee 122 Fee From Petitions to the Commissioner 122 130 130 **FEE PAID** Extra Claims Relow 50 123 50 Processing fee under 37 CFR 1.17(q) 123 - 20** = 18 **Total Claims** 180 126 180 Submission of Information 126 - 3** = 84 Independent Disclosure Statement Claims 40 581 40 Recording each patent assignment 581 per property (times # properties) Multiple Dependent 740 246 370 Filing submission after final 146 Large Entity **Small Entity** rejection (37 CFR § 1.129(a)) Fee Description Fee Fee Fee 149 740 249 370 For each additional invention to be Fee Code (\$) examined (37 CFR § 1.129(b)) Code (\$) Request for Continued Examination 203 9 179 740 279 370 18 Claims in excess of 20 103 102 84 202 42 Independent claims in excess of 3 (RCE) Request for expedited examination 280 204 140 Multiple dependent claim, if not paid 169 900 169 900 104 of a design application 109 84 209 42 **Reissue independent claims over original patent Other fee (specify) 110 18 210 **Reissue claims in excess of 20 and over original patent

for number previously paid, if greater; For Reissues, see above SUBMITTED BY Complete (if applicable) 312-222-9350 Registration No. 43.973 Telephone Mark P. Vrla Name (Print/Type) (Attorney/Agent) July 1, 2002 Date Signature

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$ 240.

SUBTOTAL

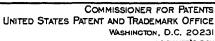
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/090,329

03/04/2002

Kenneth R. Schroll

10001-29748

CONFIRMATION NO. 5533

02574

JENNER & BLOCK, LL ONE IBM PLAZA CHICAGO, IL 60611

FORMALITIES LETTER

OC000000007763769*

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/11/2002 SFELEKE1 00000029 100460 10090329

130.00 CH 01 FC:105

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THINES & PLOCK

Certificate of Mailing date: hereby certify that has paper or fee is being deposited with the United States Postal Scripper First ass Mail on the date indicated above and is addressed to: BOX MISSINO FIRSTS, Commissioner for Patents, Washington, D.C. 20231 on July 1, 2002.

Leah Grinnage

(Type Name of Person Mailing Correspondence or Papers)

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Patent

Attorney Docket No. 10001-29748

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Kenneth R. Schroll)
Serial No.:	10/090,329)
Filed:	March 4, 2002)
Examiner:	Unknown)
Group Art Unit:	2874)

For:

Adjustable, Reconfiguration, Multi-port Optical Fiber Coupler

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir:

This paper is responsive to the Notice To File Missing Parts Of Nonprovisional Application mailed on April 2, 2002, having a shortened statutory period for response that expired June 2, 2002. Enclosed is a copy of the Notice to File Missing Parts and a signed inventor's Declaration. Also are enclosed are three Powers of Attorney.

A petition for a one-month extension of time to respond to the Notice to File Missing Parts is filed herewith.

Respectfully submitted,

Mark P. Vrla

Registration No. 43,973

Attorney for Applicant

Date: July 1, 2002

JENNER & BLOCK, LLC One IBM Plaza Chicago, IL 60611 (312) 222-9350

803240